SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

	eck this box if no longer subject Section 16. Form 4 or Form 5 ligations may continue. <i>See</i> struction 1(b).
--	--

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person			2. Issuer Name and Ticker or Trading Symbol <u>Pennant Group, Inc.</u> [PNTG]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Stringfield JoAnne			<u>remain oroup, me.</u> [mile]	X	Director	10% Owner			
C/O THE PENNANT GROUP, INC. 1675 EAST RIVERSIDE DRIVE, SUITE 150		(<i>'</i>	- 3. Date of Earliest Transaction (Month/Day/Year) 01/17/2023		Officer (give title below)	Other (specify below)			
		RIVE, SUITE 150	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicab				
(Street) EAGLE	ID	83616	_	x	Form filed by One Re Form filed by More th Person				
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of (D) (Instr. 3, 4 a Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	01/17/2023		Α		4,500 ⁽¹⁾	A	\$0	12,920	D		
Common Stock	01/18/2023		S		95 ⁽²⁾	D	\$10.96	12,825	D		
Common Stock	01/19/2023		S		95(3)(4)	D	\$11.37	12,730	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(0.3), parto, cano, mananto, opriorio, conversion con														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/N	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares vest in three annual installments beginning January 17, 2024.

2. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on August 20, 2021.

3. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on May 17, 2021.

5. This transaction was creected pursuant to a Rule 1005-1 trading plan adopted on May 17, 2021.

4. This transaction was executed in two trades at the price of \$11.37. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares executed at each transaction.

Remarks:

<u>/s/ Kirk Cheney, as attorney-</u> in-fact

01/19/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.