| SEC Form 4 |
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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| STATEMENT | OF ( | CHANGES | IN F | RENEEL  |      | OWNERSHIP |
|-----------|------|---------|------|---------|------|-----------|
|           | UF 1 | CHANGES |      | ノニョットレー | UAL. | OWNERSHIE |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |                     |                       | of Section So(ii) of the investment Company Act of 1940                                 |  |  |  |  |  |  |
|--|---------------------|-----------------------|---|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Stringfield JoAnne |                     | J Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Pennant Group, Inc.</u> [PNTG] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |  |  |  |  |  |
|  |                     |                       | <u></u> [   | X Director 10% Owner   |  |  |  |  |  |
|  |                     |                       |   | Officer (give title Other (specify   |  |  |  |  |  |
| (Last)   |                     |                       | 3. Date of Earliest Transaction (Month/Day/Year)  | below) below)  |  |  |  |  |  |
| C/O THE P  | ENNANT GROU         | JP, INC.              | 10/15/2021  |  |  |  |  |  |  |
| 1675 EAST  | <b>RIVERSIDE DI</b> | RIVE, SUITE 150       |   |  |  |  |  |  |  |
| ·  |                     |                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                | 6. Individual or Joint/Group Filing (Check Applicable Line)                |  |  |  |  |  |
| (Street)   |                     |                       |   | X Form filed by One Reporting Person                                       |  |  |  |  |  |
| EAGLE  | ID                  | 83616                 | _   | Form filed by More than One Reporting<br>Person                            |  |  |  |  |  |
| (City)   | (State)             | (Zip)                 |   |  |  |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   |                       |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|-----------------------------|---|-----------------------|---------------|---------|---|---|---|
|                                 |  |   | Code                        | v | Amount                | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   |   |
| Common Stock                    | 10/15/2021                                 |   | Α                           |   | 750 <sup>(1)</sup>    | Α             | \$0     | 6,465   | D   |   |
| Common Stock                    | 10/18/2021                                 |   | S                           |   | 190 <sup>(2)(3)</sup> | D             | \$25.57 | 6,275   | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   | -  | (5-,  | ,                            |   |   | ,   |                     |                    |   |  | ,  |  |  |  |
|---|---|--|---|------------------------------|---|---|-----|---------------------|--------------------|---|--|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |     | n Date Amount of    |                    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares   |  |  |  |  |

Explanation of Responses:

1. These shares vest in three annual installments beginning October 15, 2022.

2. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted on August 20, 2021.

3. This transaction was executed in two trades at the price of \$25.57. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares executed at each transaction.

## **Remarks:**



10/19/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.